



**European Research Council**  
Executive Agency

Established by the European Commission



**Horizon 2020**  
**European Union Funding**  
**for Research & Innovation**

**European Research Council (ERC)**

# **Proposal template for ERC Synergy Grant 2018**

**Administrative forms (Part A)**  
**Research proposal (Part B1 and Part B2)**  
**Letter of commitment of the host institution**

**Version 1.0**  
**2 August 2017**

## Disclaimer

This document is aimed at informing potential applicants for Horizon 2020 funding. It serves only as an example. The actual Web forms and templates, provided in the online proposal submission system under the Participant Portal, might differ from this example. Proposals must be prepared and submitted via the online proposal submission system under the Participant Portal.

# Horizon 2020

## Excellent Science

**Topic:**

**Type of action:**

()

**Proposal number:**

**Proposal acronym:**

**Deadline Id:**

**Table of contents**

Section	Title	Action
1	General information	
2	Participants & contacts	
3	Budget	
4	Ethics	
5	Call-specific questions	

### How to fill in the forms

The administrative forms must be filled in for each proposal using the templates available in the submission system. Some data fields in the administrative forms are pre-filled based on the previous steps in the submission wizard.



Proposal ID

Acronym

Go to

## 1 - General information

Topic

Call Identifier

Type of Action

Deadline Id

Acronym

Proposal title\*

*The title should be no longer than 200 characters (with spaces) and should be understandable to the non-specialist in your field.*

*Note that for technical reasons, the following characters are not accepted in the Proposal Title and will be removed: < > " &*

Duration in months\*

*Duration must be between 1 - 72 months*

*Please select minimum 4 ERC keywords that best characterise the subject of your proposal.*

ERC Keyword 1\*

*Please choose one from the list*

ERC Keyword 2\*

*Please choose one from the list.*

ERC Keyword 3\*

*Please choose one from the list.*

ERC Keyword 4\*

*Please choose one from the list.*

ERC Keyword 5

*Not applicable*

ERC Keyword 6

*Not applicable*

Free keywords

*In addition, please enter free text keywords that you consider best characterise the scope of your research proposal. The choice of keywords should take into account any multi-disciplinary aspects of the proposal.*



Proposal ID

Acronym

Go to

### Abstract\*

*The abstract (summary) should, at a glance, provide the reader with a clear understanding of the objectives of the proposal and how they will be achieved. The abstract will be used as the short description of your proposal in the evaluation process and in communications to contact in particular the potential ERC experts reviewing the proposals and/or inform the Commission and/or the programme management committees and/or relevant national funding agencies (provided you give permission to do so where requested below). It must therefore be short and precise and should not contain confidential information. Please use plain typed text, avoiding formulae and other special characters. The abstract must be written in English. There is a limit of 2000 characters (spaces and line breaks included).*

Remaining characters

2000

In order to best review your application, do you agree that the above non-confidential proposal title and abstract can be used, without disclosing your identity, when contacting potential reviewers?\*

Yes

No



Proposal ID

Acronym

Go to

**Declarations**

In case of a Synergy grant application 'Principal Investigator' means 'corresponding Principal Investigator on behalf of all Principal Investigators', and 'Host Institution' means 'corresponding Host Institution'.

1) The Principal Investigator declares to have the written consent of all participants on their participation and on the content of this proposal, as well as of any researcher mentioned in the proposal as participating in the project (either as other PI, team member or collaborator).*	<input type="checkbox"/>
2) The Principal Investigator declares that the information contained in this proposal is correct and complete.	<input type="checkbox"/>
3) The Principal Investigator declares that all parts of this proposal comply with ethical principles (including the highest standards of research integrity — as set out, for instance, in the <a href="#">European Code of Conduct for Research Integrity</a> — and including, in particular, avoiding fabrication, falsification, plagiarism or other research misconduct).	<input type="checkbox"/>
4) The Principal Investigator hereby declares that <i>(please select one of the three options below)</i> :	
- in case of multiple participants in the proposal, the Host Institution has carried out the self-check of the financial capacity of the organisation on <a href="http://ec.europa.eu/research/participants/portal/desktop/en/organisations/lfv.html">http://ec.europa.eu/research/participants/portal/desktop/en/organisations/lfv.html</a> or to be covered by a financial viability check in an EU project for the last closed financial year. Where the result was “weak” or “insufficient”, the Host Institution confirms being aware of the measures that may be imposed in accordance with the <a href="#">H2020 Grants Manual (Chapter on Financial capacity check)</a> .	<input type="radio"/>
- in case of multiple participants in the proposal, the Host Institution is exempt from the financial capacity check being a public body including international organisations, higher or secondary education establishment or a legal entity, whose viability is guaranteed by a Member State or associated country, as defined in the <a href="#">H2020 Grants Manual (Chapter on Financial capacity check)</a> .	<input type="radio"/>
- in case of a sole participant in the proposal, the applicant is exempt from the financial capacity check.	<input type="radio"/>
5) The Principal Investigator hereby declares that each applicant has confirmed to have the financial and operational capacity to carry out the proposed action. Where the proposal is to be retained for EU funding, each beneficiary applicant will be required to present a formal declaration in this respect.	<input type="checkbox"/>
The Principal Investigator is only responsible for the correctness of the information relating to his/her own organisation. Each applicant remains responsible for the correctness of the information related to him and declared above. Where the proposal to be retained for EU funding, the Host Institution and each beneficiary applicant will be required to present a formal declaration in this respect.	

According to Article 131 of the Financial Regulation of 25 October 2012 on the financial rules applicable to the general budget of the Union (Official Journal L 298 of 26.10.2012, p. 1) and Article 145 of its Rules of Application (Official Journal L 362, 31.12.2012, p.1) applicants found guilty of misrepresentation may be subject to administrative and financial penalties under certain conditions.

**Personal data protection**

The assessment of your grant application will involve the collection and processing of personal data (such as your name, address and CV), which will be performed pursuant to Regulation (EC) No 45/2001 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. Unless indicated otherwise, your replies to the questions in this form and any personal data requested are required to assess your grant application in accordance with the specifications of the call for proposals and will be processed solely for that purpose. Details concerning the purposes and means of the processing of your personal data as well as information on how to exercise your rights are available in the [privacy statement](#). Applicants may lodge a complaint about the processing of their personal data with the European Data Protection Supervisor at any time.

Your personal data may be registered in the Early Detection and Exclusion system of the European Commission (EDES), the new system established by the Commission to reinforce the protection of the Union’s financial interests and to ensure sound financial management, in accordance with the provisions of articles 105a and 108 of the revised EU Financial Regulation (FR) (Regulation (EU, EURATOM) 2015/1929 of the European Parliament and of the Council of 28 October 2015 amending Regulation (EU, EURATOM) No 966/2012) and articles 143 - 144 of the corresponding Rules of Application (RAP) (COMMISSION DELEGATED REGULATION (EU) 2015/2462 of 30 October 2015 amending Delegated Regulation (EU) No 1268/2012) for more information see the [Privacy statement for the EDES Database](#).



Proposal ID

Acronym

Go to

## List of participants

#	Participant Legal Name	Country
1		

Example, not to complete



Proposal ID	Acronym	Short name
-------------	---------	------------

## 2 - Administrative data of participating organisations

### Host Institution

PIC	Legal name
-----	------------

*Short name:*

*Address of the organisation*

Street

Town

Postcode

Country

Webpage

*Legal Status of your organisation*

#### Research and Innovation legal statuses

Public body ..... unknown	Legal person ..... unknown
Non-profit ..... unknown	
International organisation ..... unknown	
International organisation of European interest ..... unknown	
Secondary or Higher education establishment ..... unknown	
Research organisation ..... unknown	

#### Enterprise Data

SME self-declared status..... unknown

SME self-assessment ..... unknown

SME validation sme ..... unknown

**Based on the above details of the Beneficiary Registry the organisation is not an SME (small- and medium-sized enterprise) for the call.**



Proposal ID	Acronym	Short name
-------------	---------	------------

*Department(s) carrying out the proposed work*

**Department 1**

Department name   not applicable

Same as organisation address

Street *Please enter street name and number.*

Town

Postcode

Country

Example, not to complete



Proposal ID	Acronym	Short name
-------------	---------	------------

## Corresponding Principal Investigator #1

The following information on the Corresponding Principal Investigator #1 is used to personalise the communications to applicants. Please make sure that your personal information is accurate and for any ERC specific question please contact the ERC using the following e-mail address:

For Synergy Grant Applicants: [ERC-2018-SYG-APPLICANTS@ec.europa.eu](mailto:ERC-2018-SYG-APPLICANTS@ec.europa.eu)

The name and e-mail of contact persons including the Principal Investigator, Host Institution contact are read-only in the administrative form, only additional details can be edited here. To give access rights and contact details of contact persons, please save and close this form, then go back to Step 4 of the submission wizard and save the changes.

ORCID ID  If you have a ORCID number please enter it here (e.g. 9999-9999-9999-999X, where 9 represents numbers and X represents number)

Researcher ID    The maximum length of the identifier is 11 characters (ZZZ-9999-2010) and the minimum length is 9 characters (A-1001-2010).

Other ID  Please enter the type of ID here  Please enter the identifier number here

Last Name*	<input type="text"/>	Last Name at Birth	<input type="text"/>
First Name(s)*	<input type="text"/>	Gender*	<input type="radio"/> Male <input type="radio"/> Female
Title	<input type="text"/>	Country of residence	<input type="text"/>
Nationality*	<input type="text"/>	Country of Birth*	<input type="text"/>
Date of Birth* (DD/MM/YYYY)	<input type="text"/>	Place of Birth*	<input type="text"/>

### Contact address

Current organisation name

Current Department/Faculty/Institute/Laboratory name

Same as organisation address

Street  Please enter street name and number.

Postcode/Cedex  Town\*

Phone\*  +XXX XXXXXXXXXX Country\*

Phone2 / Mobile  +XXX XXXXXXXXXX

E-mail\*

Proposal ID

Acronym

Short name

### Contact address of the Host Institution and contact person

The name and e-mail of Host Institution contact persons are read-only in the administrative form, only additional details can be edited here. To give access rights and contact details of Host Institution, please save and close this form, then go back to Step 4 of the submission wizard and save the changes. Please note that the submission is blocked without a contact person and e-mail address for the Host Institution.

Organisation Legal Name

First name\*

Last name\*

E-Mail\*

Position in org.

Department

Same as organisation

Same as organisation address

Street

Town

Postcode

Country

Phone

Phone2/Mobile



Proposal ID

Acronym

Go to

### 3 - Budget

Participant Number in this proposal	Organisation Short Name	Organisation Country	Total eligible costs/€ (including 25% indirect costs)	Requested grant/€
1			0	0
Total			0	0

Proposal ID

Acronym

Go to

## 4 - Ethics issues table

<b>1. HUMAN EMBRYOS/FOETUSES</b>		Page
Does your research involve <a href="#">Human Embryonic Stem Cells (hESCs)</a> ?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of human embryos?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of human foetal tissues / cells?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>2. HUMANS</b>		Page
Does your research involve human participants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve physical interventions on the study participants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>3. HUMAN CELLS / TISSUES</b>		Page
Does your research involve human cells or tissues (other than from Human Embryos/ Foetuses, i.e. section 1)?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>4. PERSONAL DATA</b>		Page
Does your research involve personal data collection and/or processing?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve further processing of previously collected personal data (secondary use)?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>5. ANIMALS</b>		Page
Does your research involve animals?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>6. THIRD COUNTRIES</b>		Page
In case non-EU countries are involved, do the research related activities undertaken in these countries raise potential ethics issues?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Do you plan to import any material - including personal data - from non-EU countries into the EU?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Do you plan to export any material - including personal data - from the EU to non-EU countries?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
In case your research involves <a href="#">low and/or lower middle income countries</a> , are any benefits-sharing actions planned?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Could the situation in the country put the individuals taking part in the research at risk?	<input type="radio"/> Yes <input checked="" type="radio"/> No	

Proposal ID	Acronym	Go to	
-------------	---------	-------	--

<b>7. ENVIRONMENT &amp; HEALTH and SAFETY</b>		Page
Does your research involve the use of elements that may cause harm to the environment, to animals or plants?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research deal with endangered fauna and/or flora and/or protected areas?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
Does your research involve the use of elements that may cause harm to humans, including research staff?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>8. DUAL USE</b>		Page
Does your research involve dual-use items in the sense of Regulation 428/2009, or other items for which an authorisation is required?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>9. EXCLUSIVE FOCUS ON CIVIL APPLICATIONS</b>		Page
Could your research raise concerns regarding the exclusive focus on civil applications?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>10. MISUSE</b>		Page
Does your research have the potential for misuse of research results?	<input type="radio"/> Yes <input checked="" type="radio"/> No	
<b>11. OTHER ETHICS ISSUES</b>		Page
Are there any other ethics issues that should be taken into consideration? Please specify	<input type="radio"/> Yes <input checked="" type="radio"/> No	

I confirm that I have taken into account all ethics issues described above and that, if any ethics issues apply, I will complete the ethics self-assessment and attach the required documents.

[How to Complete your Ethics Self-Assessment](#)



Proposal ID

Acronym

Go to

## 5 - Call specific questions

Eligibility	
As the corresponding Principal Investigator, I confirm that each Principal Investigator will spend a minimum of 50% of their total working time in an EU Member State or Associated Country.	<input checked="" type="radio"/> Yes <input type="radio"/> No
As the corresponding Principal Investigator I acknowledge that all PIs are aware of the eligibility requirements for applying for this ERC call as specified in the ERC Work Programme 2018, and certify that, to the best of my knowledge this application is in compliance with all these requirements. I understand that this proposal may be declared ineligible at any point during the evaluation or granting process if it is found not to be compliant with these eligibility criteria.*	<input type="checkbox"/>
Data-Related Questions and Data Protection	
Consent to any question below is entirely voluntary. A positive or negative answer will not affect the evaluation of your project proposal in any form and will not be communicated to the evaluators of your project. By replying 'yes' to the questions below, the Corresponding PI gives consent on behalf of all the other PIs, and confirms both that he/she has obtained the prior informed individual consent of each of the PIs and can provide evidence of those consents, if so requested.	
For communication purposes only, the ERC asks for your permission to publish the names of the participating PIs, the proposal title, the proposal acronym and Host Institutions, should this proposal be retained for funding.	<input type="radio"/> Yes <input type="radio"/> No
Some national and regional public research funding authorities run schemes to fund ERC applicants that score highly in the ERC's evaluation but which cannot be funded by the ERC due to its limited budget. In case the proposal could not be selected for funding by the ERC do you consent to allow the ERC to disclose the results of the evaluation of this proposal (score and ranking range) together with all PIs' names, non-confidential proposal title and abstract, proposal acronym, Host Institutions and contact details to such authorities?	<input type="radio"/> Yes <input type="radio"/> No
The ERC is sometimes contacted for lists of ERC funded researchers by institutions that are awarding prizes to excellent researchers. Do you consent to allow the ERC to disclose all PIs' names, non-confidential proposal title and abstract, proposal acronym, Host Institutions and contact details to such institutions?	<input type="radio"/> Yes <input type="radio"/> No
For purposes related to monitoring, study and evaluating implementation of ERC actions, the ERC may need that submitted proposals and their respective evaluation data be processed by external parties. Any processing will be conducted in compliance with the requirements of Regulation 45/2001.	
Have any of the Principal Investigators previously submitted a proposal to the ERC?	<input type="radio"/> Yes <input type="radio"/> No
You can specify up to four proposals submitted most recently by any of the participating Principal Investigators to the ERC.	<input type="radio"/> Yes <input type="radio"/> No



Proposal ID

Acronym

Go to

### Excluded Reviewers

You can provide up to four names of persons that should not act as an evaluator in the evaluation of the proposal for potential competitive reasons.

Example, not to complete



Proposal ID

Acronym

Go to

### Extended Open Research Data Pilot in Horizon 2020

If selected, all applicants will participate in the [Pilot on Open Research Data in Horizon 2020](#)<sup>1</sup>, which aims to improve and maximise access to and re-use of research data generated by actions.

However, participation in the Pilot is flexible in the sense that it does not mean that **all** research data needs to be open. After the action has started, participants will formulate a [Data Management Plan \(DMP\)](#), which should address the relevant aspects of making data FAIR - findable, accessible, interoperable and re-usable, including what data the project will generate, whether and how it will be made accessible for verification and re-use, and how it will be curated and preserved. Through this DMP projects can define certain datasets to remain closed according to the principle "as open as possible, as closed as necessary". A Data Management Plan does **not** have to be submitted at the proposal stage.

Furthermore, applicants also have the possibility to opt out of this Pilot completely at any stage (before or after the grant signature), thereby freeing themselves retroactively from the associated obligations.

Please note that participation in this Pilot does not constitute part of the evaluation process. Proposals will not be penalised for opting out.

We wish to opt out of the Pilot on Open Research Data in Horizon 2020.

Yes

No

<sup>1</sup> According to article 43.2 of Regulation (EU) No 1290/2013 of the European Parliament and of the Council, of 11 December 2013, laying down the rules for participation and dissemination in "Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)" and repealing Regulation (EC) No 1906/2006.



Proposal ID

Acronym

Go to

## Validation result

Show Error

The red 'Show Error' button indicates an error due to a missing or incorrect value related to the call eligibility criteria. The submission of the proposal **will be blocked** unless that specific field is corrected!

Show Warning

The yellow 'Show Warning' button indicates a warning due to a missing or incorrect value related to the call eligibility criteria. The submission of the proposal **will not be blocked** (proposal will be submitted with the missing or incorrect value).

Section

Description

The form has not yet been validated, click "Validate Form" to do so!

Example, not to complete

**ERC Synergy Grant 2018**  
**Research proposal [Part B1]<sup>1</sup>**  
*(Part B1 is evaluated in Step 1, Step 2 and Step 3,*  
*Part B2 is only evaluated in Step 2 and Step 3)*

Proposal Full Title

PROPOSAL ACRONYM

**Cover Page:**

- Name of the corresponding Principal Investigator (cPI) and corresponding Host Institution (cHI)
- List the other PIs, indicating the Host Institution of each PI
- Proposal duration in months

Text highlighted in grey should be deleted.

Proposal summary (identical to the abstract from the online proposal submission forms, section 1).

The abstract (summary) should, at a glance, provide the reader with a clear understanding of the objectives of the research proposal and how they will be achieved. The abstract will be used as the short description of your research proposal in the evaluation process and in communications to potential remote referees and/or to inform the Commission and/or the programme management committees and/or relevant national funding agencies (provided you give permission to do so where requested in the online proposal submission forms, section 1). It must therefore be short and precise and should not contain confidential information.

Please use plain typed text, avoiding formulae and other special characters. The abstract must be written in English. There is a limit of 2000 characters (spaces and line breaks included).

---

<sup>1</sup> Instructions for completing Part B1 can be found in the 'Information for Applicants to the Synergy Grant 2018 Call'.

**Section a: Extended Synopsis of the scientific proposal (max. 5 pages)**

*[The Extended Synopsis should give a concise presentation of the scientific proposal, with particular attention to the ground-breaking nature of the research project, which will allow evaluation panels to assess, in Step 1 of the evaluation, the feasibility of the outlined scientific approach. Describe the proposed work in the context of the state of the art of the field. It is important that the extended synopsis contains minimum information relevant to the evaluation criteria, since the **step 1 panel will have access only to part B1**. Describe the synergy, the complementarity and the working arrangement aspects of the group. References to literature should also be included. Please use a reference style that is commonly used in your discipline such as American Chemical Society (ACS) style, American Medical Association (AMA) style, Modern Language Association (MLA) style, etc. and that allows the evaluators to easily retrieve each reference. **References do not count towards the page limits.**]*

***Please respect the following formatting constraints: Times New Roman, Arial or similar, at least font size 11, margin sizes (2.0 cm side and 1.5 cm top and bottom), single line spacing.***

**Section b: Curriculum vitae** (max. 2 pages for each PI)

*[The template below is provided only for guidance. It may be modified as necessary and appropriate.]*

**PERSONAL INFORMATION**

Family name, First name:

Researcher unique identifier(s) (such as ORCID, Research ID, etc. ...):

Date of birth:

Nationality:

URL for web site:

- EDUCATION**

199?            PhD  
                   Name of Faculty/ Department, Name of University/ Institution, Country

199?            Master  
                   Name of Faculty/ Department, Name of University/ Institution, Country

- CURRENT POSITION(S)**

201? – 201?    Current Position  
                   Name of Faculty/ Department, Name of University/ Institution/ Country

200? –            Current Position  
                   Name of Faculty/ Department, Name of University/ Institution/ Country

- PREVIOUS POSITIONS**

200? – 200?    Position held  
                   Name of Faculty/ Department, Name of University/ Institution/ Country

200? – 200?    Position held  
                   Name of Faculty/ Department, Name of University/ Institution/ Country

- FELLOWSHIPS AND AWARDS**

200? – 200?    Name of Faculty/ Department/Centre, Name of University/ Institution/ Country

200?            Award received from Name of Institution/ Country

198? – 199?    Scholarship, Name of Faculty/ Department/Centre, Name of University/ Institution/ Country

- SUPERVISION OF GRADUATE STUDENTS AND POSTDOCTORAL FELLOWS**

200? – 200?    Number of Postdocs/ PhD/ Master Students  
                   Name of Faculty/ Department/ Centre, Name of University/ Institution/ Country

- TEACHING ACTIVITIES (if applicable)**

200? –            Teaching position – Topic, Name of University/ Institution/ Country

200? – 200?    Teaching position – Topic, Name of University/ Institution/ Country

- **ORGANISATION OF SCIENTIFIC MEETINGS (if applicable)**

- 201? Please specify your role and the name of event / Country  
 200? Please specify type of event / number of participants / Country

- **INSTITUTIONAL RESPONSIBILITIES (if applicable)**

- 201? – Faculty member, Name of University/ Institution/ Country  
 201? – 201? Graduate Student Advisor, Name of University/ Institution/ Country  
 200? – 200? Member of the Faculty Committee, Name of University/ Institution/ Country  
 200? – 200? Organizer of the Internal Seminar, Name of University/ Institution/ Country  
 200? – 200? Member of a Committee; role, Name of University/ Institution/ Country

- **COMMISSIONS OF TRUST (if applicable)**

- 201? – Scientific Advisory Board, Name of University/ Institution/ Country  
 201? – Review Board, Name of University/ Institution/ Country  
 201? – Review panel member, Name of University/ Institution/ Country  
 201? – Editorial Board, Name of University/ Institution/ Country  
 200? – Scientific Advisory Board, Name of University/ Institution/ Country  
 200? – Reviewer, Name of University/ Institution/ Country  
 200? – Scientific Evaluation, Name of University/ Institution/ Country  
 200? – Evaluator, Name of University/ Institution/ Country

- **MEMBERSHIPS OF SCIENTIFIC SOCIETIES (if applicable)**

- 201? – Member, Research Network “*Name of Research Network*”  
 200? – Associated Member, Name of Faculty/ Department/Centre, Name of University/  
 Institution/ Country  
 200? – Founding Member, Name of Faculty/ Department/Centre, Name of University/ Institution/  
 Country

- **MAJOR COLLABORATIONS (if applicable)**

Name of collaborators, Topic, Name of Faculty/ Department/Centre, Name of University/  
 Institution/ Country

- **CAREER BREAKS (if applicable)**

- Exact dates Please indicate the reason and the duration in months.

**Appendix****All ongoing and submitted grants and funding of each of the PIs (Funding ID)****Mandatory information (does not count towards page limits)****(Please include as many tables as participating Principal Investigators in the group).****On-going Grants**

<i>Project Title</i>	<i>Funding source</i>	<i>Amount (Euros)</i>	<i>Period</i>	<i>Role of the PI</i>	<i>Relation to current ERC proposal<sup>2</sup></i>

**Applications**

<i>Project Title</i>	<i>Funding source</i>	<i>Amount (Euros)</i>	<i>Period</i>	<i>Role of the PI</i>	<i>Relation to current ERC proposal<sup>2</sup></i>

---

<sup>2</sup> Describe clearly any scientific overlap between your ERC application and the current research grant or on-going grant application.

**Section c: Early achievement track-record / Ten years track-record** (max. 2 pages for each PI)

(see 'Information for Applicants to the Synergy Grant 2018 Call' – instructions for completing 'Part B' of the proposal)

Example, not to complete

**ERC Synergy Grant 2018**  
**Research proposal [Part B2]<sup>1</sup>**  
**(not evaluated in Step 1)**

- Name of the corresponding Principal Investigator (cPI) and corresponding Host Institution (cHI)
- List the other PIs, indicating the Host Institution of each PI

**Part B2: The scientific proposal (max. 15 pages, excluding the Resources section and References)**

*Text highlighted in grey should be deleted.*

***Please respect the following formatting constraints: Times New Roman, Arial or similar, at least font size 11, margin sizes (2.0 cm side and 1.5 cm top and bottom), single line spacing. References and Resources section do not count towards the page limit.***

**Section a. State-of-the-art and objectives**

**Section b. Methodology**

**Section c. Resources (including project costs)**

(Note: Describe the resources needed according to the indications in the *Information for Applicants to the Synergy Grant 2018 call*, section 1.1.2.2 *Instructions for completing 'Part B' of the proposal*.)

**Each PI is required to fill in their budget breakdown using the following budget table and the declaration of their level of commitment to the project.** Depending on the number of PIs you may delete unneeded columns. All eligible costs requested should be included in the budget. **Please use whole euro values only.** In case you are requesting additional funding (up to EUR 4 million) above the normal EUR 10 million, include these top-up costs in the budget table as well and justify your request in the second table at the end. In addition to the budget table, please describe and **fully justify** the amount of funding considered necessary to fulfil the objectives throughout the duration of the project.

---

<sup>1</sup> Instructions for completing Part B2 can be found in the '*Information for Applicants to the Synergy Grant 2018 Call*'.

Cost category		Corresponding PI	2 <sup>nd</sup> PI	3 <sup>rd</sup> PI	4 <sup>th</sup> PI	Total in euro	
<b>PI name</b>							
<b>Host Institution</b>							
Direct Costs <sup>2</sup>	<b>Personnel</b>	PI <sup>3</sup>					
		Senior Staff					
		Postdocs					
		Students					
		Other					
	<i>i. Total Direct costs for Personnel (in euro)</i>						
	<b>Travel</b>						
	<b>Equipment</b>						
	<b>Other goods and services</b>	Consumables					
		Publications <sup>4</sup>					
Other (please specify)							
<i>ii. Total Other Direct Costs (in euro)</i>							
<b>A – Total Direct Costs (i + ii) (in euro)</b>							
<b>B – Indirect Costs (overheads) 25% of Direct Costs<sup>5</sup> (in euro)</b>							
<b>C1 – Subcontracting Costs (no overheads) (in euro)</b>							
<b>C2 – Other Direct Costs with no overheads<sup>6</sup> (in euro)</b>							
<b>Total Estimated Eligible Costs (A + B + C) (in euro)</b>							
<b>Total Requested Grant (in euro)</b>							

The project cost estimation should be as accurate as possible. Significant mathematical mistakes may reflect poorly on the credibility of the budget table and the proposal overall. The evaluation panels assess the estimated costs carefully; unjustified budgets will be consequently reduced. The Total Estimated Eligible Costs and the Total Requested Grant amounts in the table **MUST** match those presented in the online proposal submission form, section 3 – Budget.

<sup>2</sup> An additional cost category 'Direct costing for Large Research Infrastructures' applicable to H2020 can be added to this table (below 'Other Goods and services') for PIs who are hosted by institutions with Large Research Infrastructures of a value of at least EUR 20 million and **only** after having received a positive ex-ante assessment from the Commission's services.

<sup>3</sup> When calculating the salary, please take into account the percentage of each PI's dedicated working time to run the ERC funded project (i.e. minimum 30% of the total working time).

<sup>4</sup> **Include** in the Publications costs **Open Access fees**, dissemination activities, etc.

<sup>5</sup> Please note that the overheads are fixed to a flat rate of exactly 25%.

<sup>6</sup> Such as the costs of resources made available by third parties which are not used on the premises of the beneficiary

In case you are requesting additional funding (up to EUR 4 million) above the normal EUR 10 million, fully justify your request by filling in the table below (please delete the table if not applicable). Include these costs in the above budget table.

Request for additional funding above EUR 10 000 000 for	Justification
<p>Keep only the category(ies) that apply to the project.</p> <p>(a) covering eligible 'start-up' costs for a PI moving from another country to the EU or an Associated Country as a consequence of receiving an ERC grant and/or,            (b) the purchase of major equipment and/or,            (c) access to large facilities.</p>	

Please indicate the duration of the project in months <sup>7</sup> :	
Please indicate the % of working time the PI dedicates to the project over the period of the grant:	%
Corresponding PI name:	
2 <sup>nd</sup> PI name:	
3 <sup>rd</sup> PI name:	
4 <sup>th</sup> PI name:	

Each PI must specify briefly their commitment to the project and how much time each one of them is willing to devote to the proposed project. Please note that each PI is expected to devote at least 30% of their total working time to the ERC project.

<sup>7</sup> The maximum award is reduced pro rata temporis for projects of a shorter duration than 72 months (e.g. for a project of 60 months duration the maximum requested EU contribution allowed is EUR 8 333 333). Additional funding to cover major one-off costs is not subject to pro-rata temporis reduction for projects of shorter duration (e.g. with additional funding it is possible to request a maximum EU contribution of EUR 12 333 333 million for a project of 60 months duration).

**Print on paper bearing the official letterhead of the institution**

## **Commitment of the corresponding host institution for the ERC Synergy Call 2018<sup>1, 2, 3</sup>**

The <<please fill in here the name of the legal entity that is associated to the proposal and may host the corresponding principal investigator and the project in case the application is successful>>, which is the corresponding applicant legal entity, confirms its intention to sign a supplementary agreement with

<< please fill in here the name of the corresponding principal investigator and, where applicable, of the principal investigator(s) that will be engaged by the corresponding host institution >><sup>4,5</sup>

in which the obligations listed below will be addressed should the proposal entitled

<< short name of the proposal: title of the proposal>>

be retained.

The corresponding applicant legal entity confirms also its association with and support to the Synergy project which involves the following Principal Investigators:

<<Please enter below the name of the corresponding principal investigator and of all principal investigators participating in the project.>>

Corresponding PI: .....

PI 2: .....

PI 3 (if applicable): .....

PI 4 (if applicable): .....

The fact that the corresponding applicant legal entity confirms its association with and support to the group's Synergy project does not imply an obligation to contractually engage all of the Principal Investigators.

---

<sup>1</sup> A scanned copy of the signed statement should be uploaded electronically via the Participant Portal Submission Service in PDF format.

<sup>2</sup> The statement of commitment of the host institution refers to most obligations of the host institution, which are stated in the H2020 ERC Model Grant Agreement (MGA). The H2020 ERC MGA is available on the ERC website at <http://erc.europa.eu> & [http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference\\_docs.html](http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html). The reference to the time commitment of the Principal Investigator is stated in the [ERC Work Programme 2018](#).

<sup>3</sup> This statement (on letterhead paper) shall be dated, stamped and signed by the corresponding institution's legal representative, stating their name, function, email address.

<sup>4</sup> Please insert the names only of those Principal Investigators that will be engaged by the corresponding host institution.

<sup>5</sup> In case of more than one beneficiary, the other host institution(s) will be requested to sign a supplementary agreement with their respective Principal Investigators and undertake the same obligations with their respective Principal Investigators at the moment of granting.

Performance obligations of the corresponding applicant legal entity that will become the beneficiary of the H2020 ERC Grant Agreement (hereafter referred to as the Agreement), should the proposal be retained and the preparation of the Agreement be successfully concluded:

*The following obligations apply only to the Principal Investigators, hereinafter referred as the PI(s), who will be engaged by the corresponding applicant legal entity.*

The corresponding applicant legal entity commits itself to hosting and engaging the *PI(s)* for the duration of the grant to:

- a) ensure that the work will be performed under the scientific guidance of the *PI(s)* who are expected to devote *at least 30% of their total working time* to the ERC-funded project (action) and spend at least 50% of their total working time in an EU Member State or associated country.
- b) carry out the work to be performed, as it will be identified in Annex 1 of the Agreement, taking into consideration the specific role of the *PI(s)*.
- c) enter — before signature of the Agreement — into a '*supplementary agreement*' with the *PI(s)*, that specifies the obligation of the corresponding *applicant legal entity* to meet its obligations under the Agreement;
- d) provide *the PI(s)* with a copy of the signed Agreement;
- e) guarantee the *PI(s)* scientific independence, in particular for the:
  - i) use of the budget to achieve the scientific objectives;
  - ii) authority to publish as senior author and invite as co-authors those who have contributed substantially to the work;
  - iii) preparation of scientific reports for the project (action);
  - iv) selection and supervision of the other *team members* (hosted *and engaged* by the corresponding *applicant legal entity* or other legal entities), in line with the profiles needed to conduct the research and in accordance with the corresponding *applicant legal entity's* usual management practices;
  - v) possibility to apply independently for funding;
  - vi) access to appropriate space and facilities for conducting the research;
- f) provide — during the implementation of the project (action) — research support to the *PI(s)* and the team members (regarding infrastructure, equipment, access rights, products and other services necessary for conducting the research);
- g) support the *PI(s)* and provide administrative assistance, in particular for the:
  - i) general management of the work and their team;
  - ii) scientific reporting, especially ensuring that the team members send their scientific results to the *PI(s)*;

- iii) financial reporting, especially providing timely and clear financial information;
  - iv) application of the corresponding applicant legal entity's usual management practices;
  - v) general logistics of the project (action);
  - vi) access to the electronic exchange system (see Article 52 of the Agreement);
- h) inform the *PI(s)* immediately in writing of any events or circumstances likely to affect the Agreement (see Article 17 of the Agreement);
- i) ensure that the *PI(s)* enjoys adequate:
- i) conditions for annual, sickness and parental leave;
  - ii) occupational health and safety standards;
  - iii) insurance under the general social security scheme, such as pension rights;
- j) allow the transfer of the Agreement to a new beneficiary ('portability'; see Article 56a of the Agreement).
- k) take all measures to implement the principles set out in the Commission Recommendation on the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers<sup>6</sup> - in particular regarding working conditions, transparent recruitment processes based on merit and career development – and ensure that the *PI(s)*, researchers and third parties involved in the project (action) are aware of them.

**For the host institution (corresponding applicant legal entity)**

Date

\_\_\_\_\_

Name and Function

\_\_\_\_\_ ; \_\_\_\_\_

E-mail and Signature of legal representative

\_\_\_\_\_ ; \_\_\_\_\_

Stamp of the corresponding host institution (applicant legal entity)

**IMPORTANT NOTE: In order to be complete all the above mentioned items are mandatory and shall be included in the commitment of the corresponding host institution.**

<sup>6</sup> Commission Recommendation 2005/251/EC of 11 March 2005 on the European Charter for Researchers and on a Code of Conduct for the Recruitment of Researchers (OJ L 75, 22.3.2005, p. 67).